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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 53087-5004	SERIAL NO. 09/841,733
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 C.F.R. § 1.98(b))		APPLICANT Gunter, et al.	<i>RECEIVED</i> <i>JAN 29 2002</i>
		FILING DATE April 25, 2001	GROUP <i>Techno-</i>

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6	2	1	6	1	1					
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25
	F	5	9	6	0	0	8	5	09/28/99	De la Huerga	380	25
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25
G	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21
RJH	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
	M	Gunter, et al, "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

EXAMINER

DATE CONSIDERED

7/12/04

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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
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(37 C.F.R. § 1.98(b))

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*RECEIVED**JAN 29 2002**Technology Center 2100***U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>JH</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25		
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24		
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25		
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4		
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425		
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30		
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25		
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30		
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25		
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380		
<i>JH</i>	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25		

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER								PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>JH</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
<i>JH</i>	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
<i>JH</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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Donald Bandy

DATE CONSIDERED



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	APPLICANT	
	FILING DATE	GROUP

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<i>D/J</i>	HH	Rivest, et al., "SDSI – A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
<i>J</i>	II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
<i>D/J</i>	JJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.

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1-PH/1462764.2



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	APPLICANT		JAN 29 2002
	FILING DATE	GROUP	Technology Center 2100

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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
	LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
	MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
	NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

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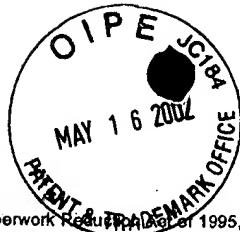
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>BTB</i>	OO	Ellison, http://world.std.com/~cme/ , July 21, 2001, pp. 1-3
<i>J</i>	PP	IEEE, www.ieee.org , 13 pages
<i>BB</i>	QQ	Infrared Data Association, www.irda.org , 93 pages
EXAMINER <i>Donald R. Baum</i>		DATE CONSIDERED <i>7/26/03</i>

EXAMINER

DATE CONSIDERED

Page 5 of 5



PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	<u>09/841,733</u>
Filing Date	<u>04/25/01</u>
First Named Inventor	<u>Gunter</u>
Group Art Unit	<u>2131</u>
Examiner Name	
Attorney Docket Number	<u>53087-5004</u>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
PJP	A	<i>Microsoft .Net Passport Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", published: March 2002, pp. 1-50.</i>	
DTM	B	<i>.Net Passport OVERVIEW; 13/http://www.microsoft.com/my services/passport/overview.asp, pp.1-7 21./http://www.microsoft.com/my services/passport/overview.asp, pp. 1</i>	

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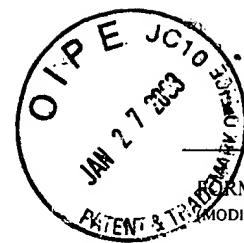
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE
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(37 C.F.R. § 1.98(b))

ATTY. DOCKET NO.
053087-5004

SERIAL NO.
09/841,733

APPLICANT
Gunter et al.

FILING DATE
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINEE R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>DB</i>	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
<i>DB</i>	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>DB</i>	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.

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April 25, 2001

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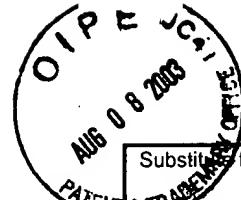
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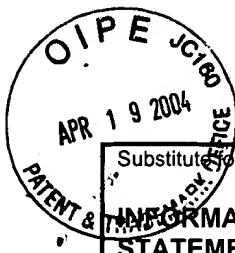


Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known		
		Application Number		09/841,733		
		Filing Date		April 25, 2001		
		First Named Inventor		Gunter et al.		
		Group Art Unit				
		Examiner Name				
Sheet	1	of	1	Attorney Docket Number		053087-5004

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Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
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	5,299,263		Beller et al.	March 1994
	US-2001/0053247	A1	Sowinski et al.	December 2001
	US-2002/0016910	A1	Wright et al.	February 2002
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FOREIGN PATENT DOCUMENTS					
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	Country Code	Number	Kind Code (if known)		

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		<i>Complete if Known</i>	
		Application Number	09/841,733
		Filing Date	April 25, 2001
		First Named Inventor	Gunter et al.
		Group Art Unit	
		Examiner Name	Technology Center 2100
Sheet	1	of	1
		Attorney Docket Number	053087-5004

U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
AH	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
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